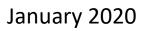


USCG Auxiliary 081-03-06 Risk Assessment			
Mission:		Date:	
Step 1: Identify, Assess, & Mitigate Risk Elements			
Instructions: To determine the level of risk for each element below, estimate the risk level based on the Low/Medium/High scale. If your perceived rating is Medium or High, explore mitigations. Draw a line through the risk zone that corresponds to the mitigated risk level and document the perceived risk(s) and mitigation(s) in the space provided.	Rate Risk Zone		
Planning Frough time and information to conduct thereugh are mission planning &	Complete	Partial	None
<u>Planning</u> - Enough time and information to conduct thorough pre-mission planning & notifications. Consider: B-0 response, completeness of mission information and onscene details. <u>NOTES/MITIGATIONS</u> :	L	М	Н
	Routine	infrequent	abnormal
<u>Event</u> - Refers to mission complexity. Consider: non-standard mission profile,	L	М	Н
coordinating multi-agency/nationality, language barriers, not performed often, etc. NOTES/MITIGATIONS :			
Asset - Crew - Proper number and skill set for the mission. Consider: time at unit,	Excellent	Marginal	Poor
familiarity w/OP area, fatigue, u/w time, crew selection, adequate supervision, etc. NOTES/MITIGATIONS:	L	М	Н
1	Ideal	Restrictions	Limitations
<u>Asset – Cutter/Boat Resources</u> – Proper number and operational characteristics for mission. Consider: operational thresholds/limitations, status of equipment, etc. NOTES/MITIGATIONS:	L	М	Н
	Excellent	Partial	None
Communications/Supervision - Ability to maintain comms throughout mission.	L	М	н
Consider: availability/quality of internal w/command and external w/customer. NOTES/MITIGATIONS:			
Environment – External conditions surrounding mission. Consider: weather	Ideal	Marginal	Extreme
night/day, sea state, currents, water temp, air temp, visibility, etc. NOTES/MITIGATIONS:	L	М	н
Other (Unit Specific Element):			
		T T	1
	L	M	Н





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Other (Unit Specific Element):			
	L	М	н
Step 2: Determine Overall Risk Level			
Consider: 1) the rating for each element above, 2) the importance of the element interact. Rate the perceived Overall Risk Level when considering this inf			69
Low Medium H	ligh		
*PEACE elements are required per COMDTINST 3500.3A. Addition	onal unit spec	ific elements are	permitted.
Step 3: Determine Risk vs. Gain: Do gains warrant the risk?			and the are
Step 3a. Enter the Overall Risk Level (Step 2 on prior page) in the RISK Step 3b. Review the definitions for Gain below and enter the level in the Level of Gain Low – Situation with unclear benefits or a low probability for providin Examples: passenger transport, non-critical logistics missions, and pure Medium – Situation that provides immediate and real benefits. Examples: saving property, protecting the environment, deterring illedering — High – Situation that provides immediate and real benefits that if ignotes and property and MEDEVACs.	the GAIN boxing concrete relablic affairs de	below. (Low, Medessults. emonstrations.	dium, or High).
RISK (Low, Med, High) (Low	GAIN w, Med, High)		
Step 3c. Use the Risk vs. Gain values from above and follow the column point is the recommended action. Example, if Risk is 'low' and Gain is 'medium', the recommendation is: 'Factors, if conditions or mission changes".		10	



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Risk vs. Gain	High Gain	Medium Gain	Low Gain
Low Risk	Accept the Mission. Monitor Risk Factors and re- evaluate if conditions or mission/activities change.	Accept the Mission. Monitor Risk Factors and re- evaluate if conditions or mission/activities change.	Accept the Mission. Monitor Risk Factors and re- evaluate if conditions or mission/activities change.
Medium Risk	Accept the Mission. Monitor Risk Factors and employ Controls when available. Re-evaluate if conditions or mission change.	Accept the Mission. Monitor Risk Factors and employ Controls when available. Re-evaluate if conditions or mission change.	Accept the Mission Only with Command Endorsement Communicate Risk vs. Gain to Chain of Command. Implement Controls and continuously evaluate conditions and mission for change.
High Risk	Accept the Mission Only with Command Endorsement. Communicate Risk vs. Gain to Chain of Command. Implement Controls and monitor Risk Factors. Continuously evaluate conditions and mission change.	Accept the Mission Only with Command Endorsement. Communicate Risk vs. Gain to Chain of Command. Implement Controls and monitor Risk Factors. Continuously evaluate conditions and mission change.	DO NOT Accept the Mission. Communicate to Chain of Command. Wait until Risk Factors change or Controls are available to warrant Risk exposure.

Notes: Any 3 mediums or 1 High will minimally be a Medium Risk mission. Calls shall be made to the OIC for any high or medium risk mission.