Deficiency Summary Worksheet:

Name of Vessel	Date of Audit			
Potential Discrepancy (briefly describe issue)	Insp. branch Concur	Req't. Issued by Inspector? Sector Use only		
1.				
2.				
3.				
				
4.				
5.				
6.				
		_		

This document does not establish or change Federal laws or regulations. References given are only general guides and are provided for auditor information and clarification. Auditors need not research regulatory basis for recommendations. Marine Inspectors will refer to IMO publications, CFR's, NVIC's or any locally produced cite guides for specific regulatory references before taking any action. Not all items in this book are applicable to all vessels.

This job aid **does not** constitute part of the official inspection record.

United States Coast Guard Auxiliary



Ferry Vessel Auxiliary Audit

Data Completed:		Time	f do	narturo:
Date Completed:		Time of departure:		
Audit Type				
□Random □ Targeto		ed* Other**		Other**
Auditor paid for ticke	et:			
☐ Yes ☐ ſ	No		Co	ost: \$
Auxiliary Auditor(s)				
1		2		
Auditors contact number(s)				
1.	2			
* Targeted audits are ** Please describe in r	those specifinotes.	ically req	ues	ted by the Sector.
_	ECTIONS BR	RANCH U	SE	ONLY:
Received by Inspection Reviewed by (initials):				
Follow on action requi				
Returned as feedback	(date).			

Ed4. 02/09

of the ongoing activity!

Use of Audit Book:

This book is intended as a job aid during USCG Auxiliary audits of ferries subject to Subchapter K or Subchapter T. A checked box should be a running record of what has been examined. It does not imply that the entire system was examined or that any items are in full compliance.

Guidelines for conducting the audit:

- 1. Inform the SDO at 415-399-3547 before starting the audit.
- Arrive at the vessel prepared to pay for the transit in the proper uniform of the day (Tropical Blue Long or ODU's). Auditors are not entitled to free transit unless the company offers on their own accord.
- 3. Upon arrival at the vessel, the auditor should begin checking the items contained in this book. Not all items need to be checked at every audit. Do what you have time for. Write N/A if you didn't check an item. Circle the check box if you found problems and write your notes in the space below.
- 4. You should not need to address the vessel's crew at any time during your audit. However, if not in uniform, auditors may be challenged by the crew. Regardless of dress, if challenged, an auxiliary ID should be produced and the audit program explained to the person inquiring.
- 5. Once you've completed your audit, write any major deficiencies you found or recommendations you have on the back page of this book. If you have any notes for the Inspections Branch, write them on the inside back cover. An Inspector will review your findings and take appropriate action. Remember, even if no action is ultimately taken by the Inspections Branch, your contribution is still very valuable.
- Auxiliary auditors are NOT Marine Inspectors and therefore should not discuss any of their findings or recommendations with the crew. Any potential discrepancies, safety issues, or recommendations will be forwarded to Domestic Inspections Branch, Sector San Francisco for action.
- 7. UNDER NO CIRCUMSTANCE should an auditor tell, suggest, or recommend to a vessel representative to make any changes to the vessel, its route, manning, or operations. All such requirements will be made by a Marine Inspector after reviewing the audit results, and when applicable, discussing with the auditor.
- 8. If a safety or security issue is found that is an *immediate* threat to life, property, or the environment, call the SDO immediately.
- 9. Inform the SDO at 415-399-3547 when the audit is complete.

Vessel Equipment Condition: Is the Lighting system working? 46 CFR 115.806 Are any lights burned out? Are the survival craft in good repair? 46 CFR 117.200 Inflatable device serviced annually 46 CFR 160 Stowed to float free? 46 CFR 117.130 Any evident damage to float, raft, or container? 36 CFR 117.137 Do the passenger accommodations have: Adequate seating for all passengers? 46 CFR 116.820 Sanitary conditions? 46 CFR 115.818 Any boxes or stores blocking isles? Is the lifejacket stowage OK? Marked 46 CFR 122.604 Child size PFDs separate from adult PFDs 46 CFR 117.78 Unlocked (plastic security ties are OK) 46 CFR 122.604(f) If lifejackets are secured with security tags, only check the stowage and marking. Were lifejacket donning placards posted? 46 CFR 122.516 Did the ring lifebuoys look OK? 46 CFR 117.70 Was stowage OK (not permanently secured)? Did they appear in good condition? (do not touch) Was the ground tackle in good condition? 46 CFR 121.300 Anchors, mooring lines Were there any fire extinguishers that were 46 CFR 118.500 out of date or discharged? Were the fire hoses connected to the 46 CFR 118.320 hydrants and in good condition? (Only check if not locked or visible through glass) Notes on the vessel's condition:

General Information:

Toil	et facilities o	per	to public?			
	Yes		No	If yes, how many?		
Wer	Were any security tags on spaces broken or missing?					
	Yes		No	If yes, how many?		
Any	Any difficulty boarding? Is the boarding process unsafe?					
	Yes		No	If yes, describe in notes.		
Was	Was the auditor in uniform?					
	Yes		No			
Was	s the audito	r qu	estioned/cha	allenged by the crew?		
	Yes		No	If yes, describe interaction in notes.		
Did	the crew tre	at tl	he auditor w	rith respect?		
	Yes		No	If no, describe interaction in notes.		
Was the Certificate of Inspection posted with ALL PAGES VISIBLE?						
	Yes		No	If no, describe in notes.		
Stability Letter posted? More than likely posted on the Bridge						
	Yes		No	If no, describe in notes.		
Notes on the audit:						

<u>Pol</u>	<u>lution Prevention:</u>		Con	nmunications:	
Note:	Was a pollution warning notice posted? Sometimes this placard is located in a restricted space.	33 CFR 155.450		Were sound signaling devices used? - Whistle signal given?	
	Was a MARPOL V placard posted (garbage)?	33 CFR 151.59	_	- When?	33 CFR Part 86
	Were there any visible fuel leaks?		Ц	Were internal communications used? - Passenger safety announcement made?	40 CH N 121.002
	Was there any unusual fuel or sewage smell?			Could you clearly hear it?	
			Str	uctural Integrity:	
Sec	curity (only applies to vessels capable of ca	arrying >150 people):		External hull structure	46 CFR 115.802
	Are signs posted at the gangway or ticket office that describes MARSEC level currently in effect?	33 CFR 104.265		 Were the decks in good condition? Was there visible damage to the vessel? Any obvious repairs, modifications, or alterations? 	46 CFR 116.300
	Are restricted areas marked to indicate access to the area is restricted, for example "CREW ONLY" or "RESTRICTED AREA" signs on the Machinery Space, Navigation Bridge, etc.?	33 CFR 151.59		 Internal compartment structures Any signs of water leaking into vessel? Visible damage/fire to interior of vessel? Obvious repairs, modifications, or alterations? Means of escape marked & not obstructed 	46 CFR 115.802
	Are storage areas, such as life jacket lockers, fire stations, cleaning gear lockers, either closed with an easily breakable wire tie type device or searched after each passenger run by a crewmember?	33 CFR 104.285		 Was the deck rail in good condition? Height requirements (39.5 inches minimum) Point load requirements (200 lbs. minimum) 	46 CFR 116.900
	Did you witness the crew do a security sweep of the vessel?		Note	s on communications and vessel's structure:	
	Did you witness any passenger screening by the crew or company?				
Notes	s on Pollution or Security:				